

Schools Financial Services Compliance Comparison 2018-19 to 2019-20

	Total 2018-19	Total 2019-20	
Total schools tested:	100	99	One Compliance not carried out due to Covid-19
Total questions within each compliance visit	99	102	
If process/procedure not in place:			
Total number of Critical recommendations	56	54	
Total number of Requires Action recommendations	43	48	
Total number of processes/procedures tested in all schools	9,900	10,098	
Total number of processes/procedures not in place for all schools tested	977	846	
% processes/procedures NOT in place	<u>9.87%</u>	<u>8.38%</u>	

Critical recommendations by Category	Total Questions Per School	Total Questions	Processes Not in Place	%	Total Questions Per School	Total Questions	Processes Not in Place	%	% Variance 18-19 to 19-20	Changes Year on Year		
										Moved to Requires Action	Removed	New
Governance & Leadership	8	800	75	9.38%	6	594	74	12.46%	3.08%	1.03e & 1.04c		
School Development Plan	2	200	0	0.00%	1	99	0	0.00%	0.00%	2.05b		
Financial Planning and Monitoring	8	800	18	2.25%	7	693	33	4.76%	2.51%	2.04b		
Payroll	5	500	29	5.80%	5	495	40	8.08%	2.28%			
Procurement	6	600	56	9.33%	6	594	49	8.25%	-1.08%			
Corporate Cards	3	300	52	17.33%	3	297	52	17.51%	0.18%			
Bank Account and Petty Cash	8	800	43	5.38%	9	891	52	5.84%	0.46%			2.06a
Income	6	600	29	4.83%	5	495	12	2.42%	-2.41%		3.05e	
Assets and Loans	3	300	4	1.33%	3	297	9	3.03%	1.70%			
Data Protection & Security	7	700	6	0.86%	9	891	9	1.01%	0.15%			3.02c & 3.07g
Health & Safety	0	0	0	0.00%	0	0	0	0.00%	0.00%			
	56	5,600	312	5.57%	54	5,346	330	6.17%	0.60%			

Requires Action recommendations by category												
Governance & Leadership	10	1,000	150	15.00%	13	1,287	126	9.79%	-5.21%	1.03e & 1.04c		1.05e
School Development Plan	1	100	42	42.00%	2	198	31	15.66%	-26.34%	2.05b		
Financial Planning and Monitoring	4	400	39	9.75%	5	495	25	5.05%	-4.70%	2.04b		
Payroll	1	100	68	68.00%	1	99	43	43.43%	-24.57%			
Procurement	8	800	147	18.38%	8	792	95	11.99%	-6.38%			
Corporate Cards	1	100	28	28.00%	1	99	22	22.22%	-5.78%			
Bank Account and Petty Cash	2	200	55	27.50%	2	198	60	30.30%	2.80%			
Income	3	300	11	3.67%	3	297	13	4.38%	0.71%			
Assets and Loans	5	500	86	17.20%	5	495	66	13.33%	-3.87%			
Data Protection & Security	5	500	12	2.40%	5	495	13	2.63%	0.23%			
Health & Safety	3	300	27	9.00%	3	297	22	7.41%	-1.59%			
	43	4,300	665	15.47%	48	4,752	516	10.86%	-4.61%			

Overall Total	9,900	977	9.87%	10,098	846	8.38%	-1.49%
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